



PATENT
Docket No. MT-0026.1

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Date: 08/02/01


E. John Rowley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Terje A. SKOTHEIM *et al.*

Serial No.: 09/721,519

Filing Date: November 21, 2000

For: LITHIUM ANODES FOR
ELECTROCHEMICAL CELLS

Examiner: To be assigned

Group Art Unit: 1745

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TC 1700

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449, two (2) pages. Copies of the forty-two (42) documents are also submitted herewith. The Examiner is requested to make these documents of record.

Document nos. 12, 16, 23, and 38, listed on the attached Form PTO-1449 were cited in a Search Report mailed 16 February 2001 (copy attached) directed to a counterpart international application No. PCT/US00/32234. Document nos. 10, 12, 16, and 23 were listed in a Search Report mailed 16 February 2001 (copy attached) directed to International Application No. PCT/US00/32232.

Applicants bring to the attention of the Examiner the following co-pending applications:

- U.S. Serial No. 08/995,089, filed 19 December 1997
- U.S. Serial No. 09/399,967, filed 21 September 1999
- U.S. Serial No. 08/995,122, filed 19 December 1997
- U.S. Serial No. 09/215,112, filed 17 December 1998

U.S. Serial Nos. 09/399,967 and 08/995,122 have issued as U.S. Patent Nos. 6,183,901 and 6,201,100, respectively, and are thus cited on the attached form PTO-1449. U.S. Serial Nos. 08/995,089 (now U.S. Patent No. 6,153,337) and 09/215,112 are the U.S. counterparts of International Publication No. WO 99/33125 cited on the attached form PTO-1449.

Applicants further bring to the attention of the Examiner the following related application, U.S. Serial No. 09/721,578 filed on November 21, 2000, of the common assignee, which is the U.S. counterpart of International Publication No. WO 01/39302, which is cited on the attached form PTO-1449.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of _____ is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure

Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1163 referencing MT-0026.1. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 02, 2001

Respectfully submitted,

By: 

Jacqueline M. Nicol
Registration No. 44,973

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/721,519	
	Filing Date	November 21, 2000	
	First Named Inventor	Terje A. Skotheim	
	Group Art Unit	1745	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	<small>12 pages & 42 references</small>	Attorney Docket Number	MT-0026.1

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/ Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (3 pgs.) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO-1449 (2 pages). 2. Copies of 2 International Search Reports (6 pages). 3. Copy of 42 cited references. 4. Return receipt postcard.
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Moltech Corporation, 9062 South Rita Road, Tucson, Arizona, 85747 Jacqueline M. Nicol, Reg. No. 44,973
Signature	<i>Jacqueline M. Nicol</i>
Date	02 August 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 2, 2001	
Typed or printed name	E. John Rowley
Signature	<i>[Signature]</i>
Date	08/02/01

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